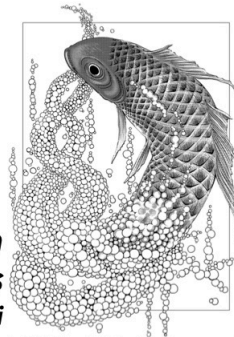


# ***Integrable and non-integrable quantum spin chains***

***Advanced Topics in  
Statistical Physics  
by Hal Tasaki***



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$S = \frac{1}{2}$  XY quantum spin chain

$$\hat{H} = \sum_{u=1}^L (\hat{X}_u \hat{X}_{u+1} + \hat{Y}_u \hat{Y}_{u+1} + \hbar \hat{X}_u) \quad (1)$$

$\hbar = 0$  integrable

one can "solve" the model to obtain  
energy eigenstates and eigenvalues

Lieb, Schultz, Mattis 1961

$\hbar \neq 0$  "non-integrable"

there exist no nontrivial local conserved quantities

it is likely that one can never "solve" the model

Shiraishi 2019

Yamaguchi, Chiba, Shiraishi 2024

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Hal Tasaki (1959-)

Elliott Lieb (1932-)

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Shiraishi 2019

Yamaguchi, Chiba, Shiraishi 2024



Nasto Shiraishi (1989-)

## § definition of the model

2

single spin with  $S = \frac{1}{2}$

basis states  $|+\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $|-\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

Pauli operators  $\hat{X} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\hat{Y} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\hat{Z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

any operator can be expanded as  $a_0 \hat{I} + a_1 \hat{X} + a_2 \hat{Y} + a_3 \hat{Z}$

properties of the Pauli matrices

$$\hat{X}^2 = \hat{Y}^2 = \hat{Z}^2 = \hat{I}$$

$$\hat{X}\hat{Y} = -\hat{Y}\hat{X} = i\hat{Z}, \quad \hat{Y}\hat{Z} = -\hat{Z}\hat{Y} = i\hat{X}, \quad \hat{Z}\hat{X} = -\hat{X}\hat{Z} = i\hat{Y}$$

$$[\hat{X}, \hat{Y}] = 2i\hat{Z}, \quad [\hat{Y}, \hat{Z}] = 2i\hat{X}, \quad [\hat{Z}, \hat{X}] = 2i\hat{Y}$$

$$\{\hat{X}, \hat{Y}\} = \{\hat{Y}, \hat{Z}\} = \{\hat{Z}, \hat{X}\} = 0$$

$$(\{\hat{A}, \hat{B}\} = \hat{A}\hat{B} + \hat{B}\hat{A})$$

# quantum spin chain



3

spin on each site  $u$  of the chain  $\Lambda = \{1, 2, \dots, L\}$

basis states at  $u \in \Lambda$   $|+\rangle_u, |-\rangle_u$   $\rightarrow 2^L$  states

basis states of the whole system  $|\mathbb{U}\rangle = |\sigma_1\rangle_1 \otimes |\sigma_2\rangle_2 \otimes \dots \otimes |\sigma_L\rangle_L$

spin configuration  $\mathbb{U} = (\sigma_1, \sigma_2, \dots, \sigma_L)$ ,  $\sigma_u = \pm 1$

$\hat{X}_u, \hat{Y}_u, \hat{Z}_u$  copies of  $\hat{X}, \hat{Y}, \hat{Z}$  at site  $u$

$$\hat{X}_u |\mathbb{U}\rangle = |\sigma_1\rangle_1 \otimes \dots \otimes |\sigma_{u-1}\rangle_{u-1} \otimes \hat{X}_u |\sigma_u\rangle_u \otimes |\sigma_{u+1}\rangle_{u+1} \otimes \dots \otimes |\sigma_L\rangle_L$$

$\curvearrowright$  acts only here

Hamiltonian of the XY model (or the XX model)

$$\hat{H} = \sum_{u=1}^L (\hat{X}_u \hat{X}_{u+1} + \hat{Y}_u \hat{Y}_{u+1} + h \hat{X}_u)$$

periodic boundary conditions  $\hat{X}_{L+1} = \hat{X}_1$ ,  $\hat{Y}_{L+1} = \hat{Y}_1$

## § about the model

4

more realistic model (XXZ model)

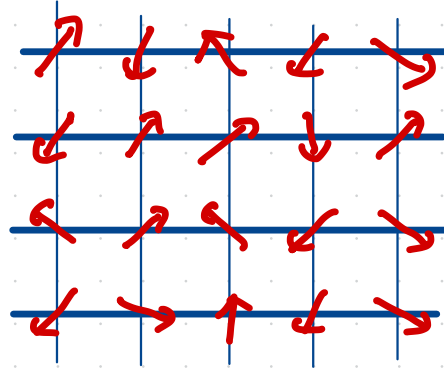
$$\hat{H} = \sum_u \left\{ J (\hat{X}_u \hat{X}_{u+1} + \hat{Y}_u \hat{Y}_{u+1} + \lambda \hat{Z}_u \hat{Z}_{u+1}) - \frac{M_0}{2} (h_x \hat{X}_u + h_y \hat{Y}_u + h_z \hat{Z}_u) \right\}$$

small  $\lambda \rightarrow$  XX model

much more realistic model (quasi one dimensional model)

$$\begin{aligned} \hat{H} = & \sum_u J (\hat{X}_u \hat{X}_{u+\mathbf{e}_1} + \hat{Y}_u \hat{Y}_{u+\mathbf{e}_1} + \lambda \hat{Z}_u \hat{Z}_{u+\mathbf{e}_1}) \\ & + \sum_u J' (\hat{X}_u \hat{X}_{u+\mathbf{e}_\alpha} + \hat{Y}_u \hat{Y}_{u+\mathbf{e}_\alpha} + \lambda' \hat{Z}_u \hat{Z}_{u+\mathbf{e}_\alpha}) \end{aligned}$$

$\alpha=2,3$



$$- \frac{M_0}{2} \sum_u (h_x \hat{X}_u + h_y \hat{Y}_u + h_z \hat{Z}_u) \quad \mathbf{e}_1 = (1, 0, 0), \mathbf{e}_2 = (0, 1, 0), \mathbf{e}_3 = (0, 0, 1)$$

small  $J' \rightarrow$  collection of independent quantum spin chains

***part Ia free fermions on the chain***

***part Ib Jordan-Wigner transformation and  
the exact solution of the model with  $h=0$***

***part II proof of the absence of nontrivial  
local conserved quantities in the model  
with  $h \neq 0$***